

Agenda



AGENDA for the STANDARDS COMMITTEE meeting to be held in COMMITTEE ROOM A, COUNTY HALL, HERTFORD on MONDAY, 22 FEBRUARY 2016 at 10.00AM

MEMBERS OF THE COMMITTEE (5) - QUORUM 3

R H Beeching (Vice-Chairman), N Bell, D J Hewitt (Chairman), A Plancey, D T F Scudder

AGENDA

AUDIO SYSTEM

The meeting room has an audio system to assist those with hearing impairment. Anyone who wishes to use this should contact Main (front) Reception.

PART 1 (PUBLIC) AGENDA

Meetings of the Committee are open to the public (this includes the press) and attendance is welcomed. However, there may be occasions when the public are excluded from the meeting for particular items of business. Any such items are taken at the end of the public part of the meeting and are listed under "Part II ('closed') agenda".

1. MINUTES

To confirm the minutes of the Committee held on 15 January 2016.
(attached)

2. APPLICATION FOR DISPENSATION (1)

Report of the Chief Legal Officer

3. APPLICATION FOR DISPENSATION (2)

Report of the Chief Legal Officer

4. OTHER PART I BUSINESS

Such other Part I Business which, if the Chairman agrees, is of sufficient urgency to warrant consideration.

**PART II ('CLOSED') AGENDA
EXCLUSION OF PRESS AND PUBLIC**

No Part II business has been notified. If Part II business is notified, the Chairman will move:-

“That under Section 100(A)(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following item/s of business on the grounds that it/they involve/s the likely disclosure of exempt information as defined in paragraph of Part 1 of Schedule 12A to the said Act and the public interest in maintaining the exemption outweighs the public interest in disclosing the information.”

If you require a copy of any of the reports mentioned above or require further information about this agenda please contact Elaine Shell, Democratic Services Manager, on telephone no. 01992 555565 or e-mail elaine.shell@hertfordshire.gov.uk. Agenda documents are also available on the internet at <https://cmis.hertsdirect.org/hertfordshire/CabinetandCommittees.aspx>

**KATHRYN PETTITT
CHIEF LEGAL OFFICER**

APPLICATION FOR DISPENSATION (1)

Report of the Chief Legal Officer

Author: Kathryn Pettitt, Chief Legal Officer (Tel: 01992 555527)

1. Purpose of Report

- 1.1 To consider the request from J R Barfoot for a dispensation under the Localism Act 2011.

2. Summary

- 2.1 The Localism Act 2011 (the '2011 Act') introduced the concept of Disclosable Pecuniary Interests ('DPIs'). The Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012 defined those interests that would constitute DPIs under the 2011 Act. It is a criminal offence for members to fail to register a DPI or to speak and/or vote where they have a DPI unless they have obtained a dispensation.
- 2.2 The Council has delegated the power to the Standards Committee to grant dispensations in respect of DPIs.

3. Recommendations

1. That a dispensation is granted pursuant to the Localism Act 2011 to allow J R Barfoot to participate, debate and vote at meetings of the Council relating to the Integrated Plan and Budget notwithstanding that he might have a disclosable pecuniary interest by virtue of Copyzone Limited having a contract with the County Council for printing rights of way maps.
2. That the dispensation mentioned in 1 above applies until the next County Council election.

4. Background

- 4.1 The 2011 Act introduced the concept of DPIs and the Disclosable Pecuniary Interest Regulations 2012 define the categories of interest which comprise DPIs. A member must disclose any matter which falls within the categories of disclosable pecuniary interest if it applies to themselves or their partner (which means a spouse or civil partner, a

person with whom the member is living as husband or wife, or a person with whom they are living as if they are civil partners).

4.2 One of the categories of disclosable pecuniary interests is:

Any contract which is made between the relevant person (or a body in which the relevant person has a beneficial interest) and the relevant authority—

(a) under which goods or services are to be provided or works are to be executed; and

(b) which has not been fully discharged.

4.3 It is a criminal offence if a member who is present at a meeting where he/she has a DPI in any matter to be considered or is being considered participates in any discussion of that matter or votes on the matter. These restrictions, however, do not apply where the member has applied for and been granted a dispensation.

4.4 Clearly if the item relates directly to the member's DPI then the provisions mentioned in paragraph 4.3 would apply. The provisions of the 2011 Act, however, are widely drawn and, as such, the provisions mentioned in 4.3 above may apply even if there is only a minimal impact on the member's DPI or the DPI is only indirectly affected by the matter to be considered or being considered at the meeting.

4.4 J R Barfoot has recently been awarded a contract (value £800) to print maps for the Rights Of Way section.

4.5 Given the uncertainty around when a DPI may be engaged, John Barfoot has requested that a dispensation be granted to allow him to participate in meetings and business of the Council concerning the Integrated Plan and Council's budget.

5. Application for Dispensation

5.1 The 2011 Act provides that, following a written request made to the proper officer, dispensations can be granted to enable members to participate in meetings where they have a DPI provided that certain grounds are satisfied. The grounds for the grant of a dispensation are, if having regard to all the relevant circumstances, the Council considers that:

5.1.1 without the dispensation the number of persons prohibited from participating in any particular business would be so great a proportion of the body transacting the business as to impede the transaction of the business;

5.1.2 without the dispensation the representation of different political groups on the body transacting any particular business would

be so upset as to alter the likely outcome of any vote relating to the business;

5.1.3 the grant of the dispensation would be in the interests of the persons living in the authority's area;

5.1.4 without dispensation each member of the authority's executive would be prohibited from participating in any particular business to be transacted by the authority's executive;

5.1.5 it is otherwise appropriate to grant a dispensation.

5.2 The Monitoring Officer considers that the grounds set out in paragraph 5.1.5 above could apply to the application which has been received. The contract which has been entered into is for a relatively small sum compared to the Council's budget and any interest which might arise by virtue of the Disclosable Pecuniary Interest which John Barfoot has in the Integrated Plan is indirect.

5.3 Dispensations can be granted for a period of up to four years. It is proposed that a dispensation be granted until the next County Council election.

5.3 Dispensations can be to speak and vote; or vote; or speak.

6. Financial Implications

None

Background Information

Chapter 7 Localism Act 2011 and the Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012

<http://www.legislation.gov.uk/uksi/2012/1464/made/data.pdf>

APPLICATION FOR DISPENSATION (2)

Report of the Chief Legal Officer

Author: Kathryn Pettitt, Chief Legal Officer (Tel: 01992 555527)

1. Purpose of Report

- 1.1 To consider the request from D E Lloyd for a dispensation under the Localism Act 2011.

2. Summary

- 2.1 The Localism Act 2011 (the '2011 Act') introduced the concept of Disclosable Pecuniary Interests ('DPIs'). The Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012 defined those interests that would constitute DPIs under the 2011 Act. It is a criminal offence for members to fail to register a DPI or to speak and/or vote where they have a DPI unless they have obtained a dispensation.
- 2.2 The Council has delegated the power to the Standards Committee to grant dispensations in respect of DPIs.

3. Recommendations

1. That a dispensation is granted pursuant to the Localism Act 2011 to allow D E Lloyd to participate, debate and vote at meetings of the Council relating to the Integrated Plan and Budget notwithstanding that he might have a disclosable pecuniary interest by virtue of his position as Police & Crime Commissioner for Hertfordshire provided that the dispensation does not apply in instances where the business to be considered directly affects his financial position
2. That the dispensation mentioned in 1 above applies until the next County Council election.

4. Background

4.1 The 2011 Act introduced the concept of DPIs and the Disclosable Pecuniary Interest Regulations 2012 define the categories of interest which comprise DPIs. A member must disclose any matter which falls within the categories of disclosable pecuniary interest if it applies to themselves or their partner (which means a spouse or civil partner, a person with whom the member is living as husband or wife, or a person with whom they are living as if they are civil partners).

4.2 One of the categories of disclosable pecuniary interests is:

'Any employment, office, trade, profession or vocation carried on for profit or gain'.

4.3 It is a criminal offence if a member who is present at a meeting where he/she has a DPI in any matter to be considered or is being considered participates in any discussion of that matter or votes on the matter. These restrictions, however, do not apply where the member has applied for and been granted a dispensation.

4.4 Clearly if the item relates directly to the member's DPI then the provisions mentioned in paragraph 4.3 would apply. The provisions of the 2011 Act, however, are widely drawn and, as such, the provisions mentioned in 4.3 above may apply even if there is only a minimal impact on the member's DPI or the DPI is only indirectly affected by the matter to be considered or being considered at the meeting.

4.4 D E Lloyd is the Police & Crime Commissioner for Hertfordshire, a post for which he receives a salary.

4.5 D E Lloyd has requested that a dispensation be granted to allow him to participate in meetings and business of the Council concerning the Integrated Plan and Council's budget notwithstanding that some of the Council's budget and Integrated Plan relates to initiatives with the police.

5. Application for Dispensation

5.1 The 2011 Act provides that, following a written request made to the proper officer, dispensations can be granted to enable members to participate in meetings where they have a DPI provided that certain grounds are satisfied. The grounds for the grant of a dispensation are, if having regard to all the relevant circumstances, the Council considers that:

5.1.1 without the dispensation the number of persons prohibited from participating in any particular business would be so great a proportion of the body transacting the business as to impede the transaction of the business;

- 5.1.2 without the dispensation the representation of different political groups on the body transacting any particular business would be so upset as to alter the likely outcome of any vote relating to the business;
 - 5.1.3 the grant of the dispensation would be in the interests of the persons living in the authority's area;
 - 5.1.4 without dispensation each member of the authority's executive would be prohibited from participating in any particular business to be transacted by the authority's executive;
 - 5.1.5 it is otherwise appropriate to grant a dispensation.
- 5.2 The Monitoring Officer considers that the grounds set out in paragraph 5.1.5 above could apply to the application which has been received as in the context of the County Council's Integrated Plan and Budget the impact of D Lloyd's disclosable pecuniary interest is small.
- 5.3 Dispensations can be granted for a period of up to four years. It is proposed that a dispensation be granted until the next County Council election.
- 5.3 Dispensations can be to speak and vote; or vote; or speak.

6. Financial Implications

None

Background Information

Chapter 7 Localism Act 2011 and the Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012

<http://www.legislation.gov.uk/uksi/2012/1464/made/data.pdf>